

CLAIM: a Lightweight Approach to Identify Microservices in Dockerized Environments



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Introduction

→ **Context:**

Mining studies in the field of Empirical Software Engineering

→ **Motivation:**

Identifying microservices in a Microservices Architecture

→ **Needs:**

Lightweightness in execution time and resources consumption

Language independence

Background

State of Art:

- Baresi *et al.*¹: **static approach** via parsing Docker *compose* files

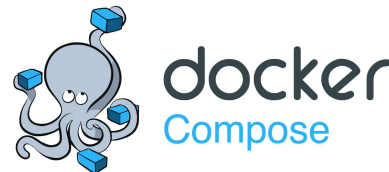
Related Work: e.g. μ MINER, MicroArt, etc.

- recovery of the **entire architecture** (also architectural components)
- **dynamic** or **hybrid static-dynamic** approach
- **semi-automatic** approach (human intervention required)

➔ excessive effort

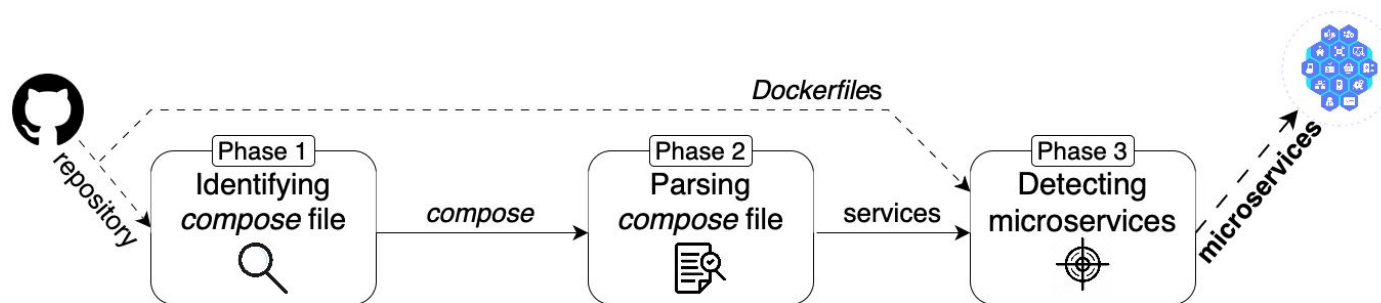
CLAIM:

- **Convention-based empirical rules**
- **Refinement** and **extension** of Baresi *et al.*¹
 - Identification and parsing of Docker *compose* files
 - Additional use of *Dockerfile* to improve effectiveness



¹"Microservice Architecture Practices and Experience: a Focused Look on Docker Configuration Files" - L. Baresi, G. Quattrocchi, D. A. Tamburri (2022)

Approach



Phase 1

1. *compose* files collection
2. path-based filtering
3. path-based order
4. filename-based order
5. selection

Phase 2

1. variable interpolation
2. recursive inclusion resolution
3. inheritance reconstruction
4. services data extraction

Phase 3

1. *Dockerfiles* collection
2. extension-based filtering
3. path-based filtering
4. service-*Dockerfile* match
5. *Dockerfiles* checks

Research Questions

RQ₁ What is the **effectiveness** of CLAIM in terms of microservice identification?

Metrics:

- precision
- accuracy
- recall

RQ₂ What is the **efficiency** of CLAIM in terms of execution time and memory consumption?

Metrics:

- execution time per commit
- execution time per repository
- memory consumption per repository

Experiment

Experimental objects:

20 open source MSA repositories (13k commits, 1.7M SLOC, 160 microservices)

Ground truth:

- 6 *a priori* defined microservice Ground Truth
- 14 manually defined microservice Ground Truth

Experiment execution:

- RQ₁ **compose file selection** (commit-wise)
- RQ₁ **microservices identification** (commit-wise)
- RQ₂ **execution time and resource profiling** (repository-wise)

Comparison:

Baresi *et al.*¹ tool

¹"Microservice Architecture Practices and Experience: a Focused Look on Docker Configuration Files" - L. Baresi, G. Quattrocchi, D. A. Tamburri (2022)

Results (RQ₁ - effectiveness)

compose file selection

	CLAIM	Baresi <i>et al.</i> ¹
Success rate	99.2%	94.8%

Microservices identification

	CLAIM	Baresi <i>et al.</i> ¹
Accuracy $(TP+TN)/(TP+TN+FP+FN)$	82%	71%
Precision $TP/(TP+FP)$	73.8%	61.2%
Recall $TP/(TP+FN)$	94.5%	95.0%

		Actual values (CLAIM)		Actual values (Baresi et al.)	
		Microservice	Infrastructural element	Microservice	Infrastructural element
Predicted values	Microservice	True positives 43.5%	False positives 15.4%	True positives 42.2%	False positives 26.8%
	Infrastructural element	False negatives 2.5%	True negatives 38.5%	False negatives 2.2%	True negatives 28.8%

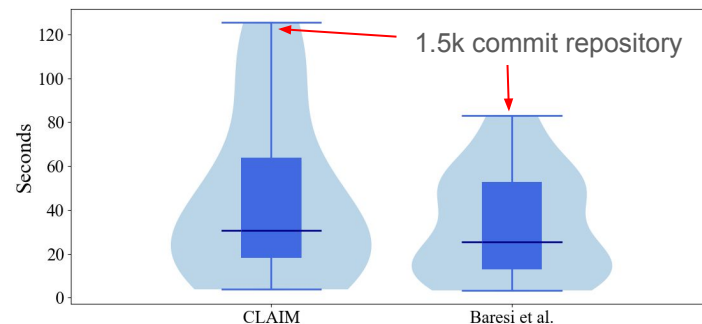
¹"Microservice Architecture Practices and Experience: a Focused Look on Docker Configuration Files" - L. Baresi, G. Quattrocchi, D. A. Tamburri (2022)

Results (RQ₂ - efficiency)

Execution time

Execution time per commit	CLAIM	Baresi et al. ¹
Best case scenario	23 ms	18 ms
Worst case scenario	266 ms	216 ms
Median	61 ms	38 ms

Execution time per repository



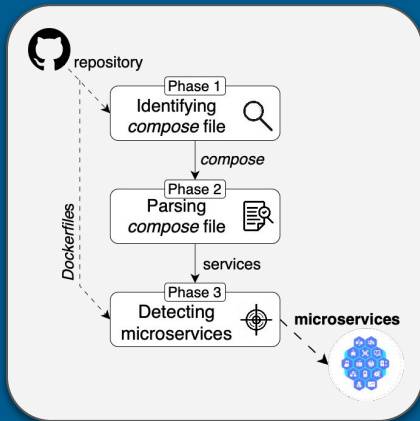
Memory consumption

	CLAIM	Baresi et al. ¹
Median	20 MB	30 MB

¹"Microservice Architecture Practices and Experience: a Focused Look on Docker Configuration Files" - L. Baresi, G. Quattrocchi, D. A. Tamburri (2022)

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Summary



RQ₁: What is the **effectiveness** of CLAIM in terms of microservice identification?

RQ₂: What is the **efficiency** of CLAIM in terms of execution time and memory consumption?

Metric	Value
Precision	82%
Accuracy	73.8%
Recall	84.5%
Metric	Median value
Execution time (per commit)	61 ms
Memory consumption (per repository)	20 MB

- Valid and scalable option for microservices identification
- Stepping stone towards developing better techniques
- Conducting comparison also against dynamic approaches

Thank you, and... it's Q&A time!



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https://github.com/STLab-UniFI/CLAIM_rep-pkg